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Substitute for form 1449/PTO Application Number Filing Date INFORMATION DISCLOSURE First Named Inventor STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary)

10/657,837 September 9, 2003 Mark A. Reiley 3738 **Examiner Name** PRONE, CHRISTOPHER D 10002-701.406 Attorney Docket Number

Complete if Known

Sheet 1 of | 7

**U. S. PATENT DOCUMENTS** Cite Examiner Name of Patentee or Pages, Columns, Lines, Where Document Number Publication Date Initials' No. MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code<sup>2 (if known)</sup> <sup>US-</sup> 2,502,902 406 4/4/1950 Tofflemire, Benjamin <sup>US-</sup> 3,710,789 405 1/16/1973 Ersek, Robert <sup>US-</sup> 3,726,279 4/10/1973 458 Barefoot et al. 442 US- 3,941,127 3/2/1976 Froning US- 4,349,921 13 9/21/1982 Kuntz US- 4,394,370 14 7/19/1983 **Jefferies** <sup>US-</sup> 4,502,161 403 3/5/1985 Wall, William <sup>US-</sup> 4,554,914 11/26/1985 431 Kapp et al. US- 4,633,722 465 1/6/1987 Beardmore et al. <sup>US-</sup> 4,693,722 17 9/15/1987 Wall <sup>US-</sup> 4.697,582 432 10/6/1987 William US- 4,778,472 21 10/18/1988 Homsy et al. <sup>US-</sup> 4,795,469 488 1/3/1989 Oh <sup>US-</sup> 4,917,701 4/17/1990 26 Morgan <sup>US-</sup> 4.932.975 6/12/1990 481 Main et al. 27 US- 4,950,270 8/21/1990 Bowman et al. 433 US- 4.957.495 9/18/1990 Kluger 468 US- 5,098,434 3/24/1992 Serbousek <sup>US-</sup> 5.261.910 11/16/1993 475 Warden et al.

		FORE	<b>IGN PATENT DOCU</b>	JMENTS		
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	374	JP 10082605 A (AB TRN)	3/31/1998	Takeuchi et al.		
	373	JP 59010807 A (AB TRN)	1/1/1984	Ando, Hisao		
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Examiner	*	Date	
Signature		Considered	

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Complete if Known Substitute for form 1449/PTO Application Number 10/657,837 Filing Date September 9, 2003 INFORMATION DISCLOSURE First Named Inventor Mark A. Reiley STATEMENT BY APPLICANT Art Unit 3738 (Use as many sheets as necessary) **Examiner Name** PRONE, CHRISTOPHER D 10002-701.406 Attorney Docket Number Sheet 2

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	466	<sup>US-</sup> 5,314,489	5/24/1994	Hoffman et al.	
	375	<sup>US-</sup> 5,314,492	5/24/1994	Hamilton et al.	
	499	<sup>US-</sup> 5,334,203	8/2/1994	Wagner	
	54	<sup>US-</sup> 5,348,026	9/20/1994	Davidson	
	469	<sup>US-</sup> 5,360,448	11/1/1994	Thramann	
	528	<sup>US-</sup> 5,366,455	11/22/1994	Dove et al.	
	434	<sup>US-</sup> 5,413,576	5/9/1995	Rivard	
	430	<sup>US-</sup> 5,415,659	5/16/1995	Lee et al.	
	455	<sup>US-</sup> 5,437,669	8/1/1995	Yuan et al.	
	404	<sup>US-</sup> 5,443,483	8/22/1995	Kirsch, Axel	
	456	<sup>US-</sup> 5,470,333	11/28/1995	Charles	
	357	<sup>US-</sup> 5,474,551	12/12/1995	Finn et al.	
	486	<sup>US-</sup> 5,496,318	3/5/1996	Howland et al.	
	361	<sup>US-</sup> 5,501,684	3/26/1996	Schlapfer et al.	
	529	<sup>US-</sup> 5,507,745	4/16/1996	Logroscino et al.	
	459	<sup>US-</sup> 5,599,311	2/4/1997	Raulerson	
	483	<sup>US-</sup> 5,645,599	7/8/1997	Samani	
	510	<sup>US-</sup> 5,672,175	9/30/1997	Martin	
	99	<sup>US-</sup> 5,683,466	11/4/1997	Vitale	

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Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>		

Examiner	Date	
Signature	Considered	

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Sheet 3

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/657,837				
Filing Date	September 9, 2003				
First Named Inventor	Mark A. Reiley				
Art Unit	3738				
Examiner Name	PRONE, CHRISTOPHER D				
Attorney Docket Number	10002-701.406	フ			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	477	US- 5,733,284	3/31/1998	Martin	
	359	<sup>US-</sup> 5,776,135	7/7/1998	Errico et al.	
	516	US- 5,947,965	9/7/1999	Bryan	
	460	<sup>US-</sup> 5,984,926	11/16/1999	Jones	
	489	<sup>US-</sup> 6,004,353	12/21/1999	Masini	
	358	<sup>US-</sup> 6,050,997	4/18/2000	Mullane, Thomas	
	497	<sup>US-</sup> 6,113,600	9/5/2000	Drummond et al.	
	515	<sup>US-</sup> 6,132,462	10/17/2000	Li, Fumian	
	153	<sup>US-</sup> 6,165,177	12/26/2000	Wilson et al.	
	490	<sup>US-</sup> 6,224,602	5/1/2001	Hayes	
	482	<sup>US-</sup> 6,440,169	8/27/2002	Elberg et al.	
	472	<sup>US-</sup> 6,585,740	7/1/2003	Schlapfer et al.	
	514	<sup>US-</sup> 6,607,530	8/192003	Carl et al.	
	363	<sup>US-</sup> 7,051,451**	5/30/2006	Augostino et al.	
	285	<sup>US-</sup> 7,290,347**	11/6/2007	Augostino et al.	
	444	US- 7,302,288	11/27/2007	Schellenberg	
	491	<sup>US-</sup> 2002/0052603	5/2/2002	Nickols et al.	
	436	<sup>US-</sup> 2002/0068975	6/6/2002	Teitelbaum et al.	
	471	<sup>US-</sup> 2002/0123752	9/5/2002	Schultheiss et al.	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
	Cite No.1	e Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	

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Sheet 4

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Cor	nplete if Known	
Application Number	10/657,837	
Filing Date	September 9, 2003	
First Named Inventor	Mark A. Reiley	
Art Unit	3738	
Examiner Name	PRONE, CHRISTOPHER D	
Attorney Docket Number	10002-701.406	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<b> </b>	Number-Kind Code <sup>2 (ff known)</sup>			Tiguica Appear
	445	<sup>US-</sup> 2004/0260305	12/23/2004	Gorensek et al.	
	370	<sup>US-</sup> 2006/0149375**	7/6/2006	Yuan et al.	
	365	US- 2006/0265070**	11/23/2006	Stinson et al.	
	368	<sup>US-</sup> 2007/0079517**	4/12/2007	Augustino et al.	
	372	<sup>US-</sup> 2007/0088358**	4/19/2007	Yuan et al.	
	366	US- 2007/0093833**	4/26/2007	Kuiper et al.	
	371	<sup>US-</sup> 2007/0168029**	7/19/2007	Yuan et al.	
	369	<sup>US-</sup> 2007/0233256**	10/4/2007	Ohrt et al.	
	381	<sup>US-</sup> 2007/0255411**	11/1/2007	Reiley, Mark	
	376	<sup>US-</sup> 2007/0265706**	11/15/2007	Reiley et al.	
	367	<sup>US-</sup> 2007/0276374**	11/29/2007	Broman et al.	
	384	<sup>US-</sup> 2007/0282445**	12/6/2007	Reiley, Mark	
	388	<sup>US-</sup> 2008/0015583**	1/17/2008	Reiley, Mark	
	382	<sup>US-</sup> 2008/0015585**	1/17/2008	Berg, et al.	
	385	US- 2008/0015696**	1/17/2008	Reiley, Mark	
	386	<sup>US-</sup> 2008/0045954**	2/21/2008	Reiley et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )			Or Relevant Figures Appear	1,
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Sheet 5

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known Application Number 10/657,837 Filing Date September 9, 2003 First Named Inventor Mark A. Reiley Art Unit 3738 PRONE, CHRISTOPHER D **Examiner Name** 10002-701.406 Attorney Docket Number

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	416	<sup>US-</sup> 2008/0091201**	4/17/2008	Reiley, Mark	
	418	<sup>US-</sup> 2008/0091202**	4/17/2008	Reiley, Mark	
	421	<sup>US-</sup> 2008/0091204 **	4/17/2008	Kuiper et al.	
	423	<sup>US-</sup> 2008/0091205 **	4/17/2008	Kuiper et al.	
	401	<sup>US-</sup> 2008/0091210**	4/17/2008	Reiley, Mark	
	402	<sup>US-</sup> 2008/0091268**	4/17/2008	Reiley, Mark	
	410	<sup>US-</sup> 2008/0097437**	4/24/2008	Reiley, Mark	
	414	<sup>US-</sup> 2008/0097438**	4/24/2008	Reiley, Mark	
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	413	<sup>US-</sup> 2008/0097440**	4/24/2008	Reiley et al.	
	415	<sup>US-</sup> 2008/0097446**	4/24/2008	Reiley et al.	
	409	<sup>US-</sup> 2008/0097609**	4/24/2008	Reiley, Mark	
	417	<sup>US-</sup> 2008/0097612**	4/24/2008	Reiley, Mark	
	419	<sup>US-</sup> 2008/0097613**	4/24/2008	Reiley et al.	
	387	<sup>US-</sup> 2008/0103501**	5/1/2008	Ralph et al.	
	389	<sup>US-</sup> 2008/0119845**	5/22/2008	Stone et al.	
	429	US- 2008/0125814**	5/29/2008	Yuan et al.	
	411	US- 2008/0132951**	6/5/2008	Reiley et al.	
·	424	<sup>US-</sup> 2008/0140121**	6/12/2008	McLeer, Thomas	

FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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Signature	Considered	

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Sheet 6

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/657,837			
Filing Date	September 9, 2003			
First Named Inventor	Mark A. Reiley			
Art Unit	3738			
Examiner Name	PRONE, CHRISTOPHER D			
Attorney Docket Number	10002-701.406	フ		

			U. S. PATENT	T DOCUMENTS	
Examiner Initials*					
	399	US- 2008/0177308**	7/24/2008	McLeer, Thomas	
	398	<sup>US-</sup> 2008/0177309**	7/24/2008	McLeer, Thomas	
	420	<sup>US-</sup> 2008/0177310**	7/24/2008	Reiley, Mark	
	452	<sup>US-</sup> 2008/0177332**	7/24/2008	Reiley et al.	
_	377	<sup>US-</sup> 2008/0200953**	8/21/2008	Reiley et al.	
	378	<sup>US-</sup> 2008/0249568**	10/9/2008	Kuiper et al.	
	474	<sup>US-</sup> 2008/0275505**	11/6/2008	Yuan et al.	
	454	<sup>US-</sup> 2008/0287959**	11/20/2008	Quest et al.	
	479	<sup>US-</sup> 2008/0292161**	11/27/2008	Funk et al.	
	473	<sup>US-</sup> 2009/0018585**	1/15/2009	Reiley	
	400	<sup>US-</sup> 2009/0024219**	1/22/2009	McLeer, Thomas	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	.,	Or Relevant Figures Appear	T <sup>6</sup>		
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Signature	Con	nsidered	

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	Application Number	10/657,837	
INFORMATION DISCLOSURE	Filing Date	September 9, 2003	
STATEMENT BY APPLICANT	NT First Named Inventor Mark A. Reiley		
(Use as many sheets as necessary)	Art Unit	3738	
(ose as many sinces as necessary)	Examiner Name	PRONE, CHRISTOPHER D	
Sheet 7 of 7	Attorney Docket Number	10002-701.406	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of			
Examiner Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	380	KUIPER et al; U.S. Pat. App. # 11/577,967 entitled "Crossbar Spinal Prosthesis having a Modular Design and Systems for Treating Spinal Pathologies," filed 4/25/2007.			
	500	OCHOA et al.; U.S. Pat. App. # 12/377,546 entitled "Spinal implant," filed 2/13/2009			
	501	HEWKO, Brian; U.S. Pat. App. # 12/377,552 entitled "Spinal implant," filed 2/13/2009			

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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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